

# THE PRINCIPAL SEMI-ALGEBRA IN A BANACH ALGEBRA<sup>(1)</sup>

BY  
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## INTRODUCTION

**0.1. Orientation.** A subset of a real linear associative algebra is called a *semi-algebra* iff it is a wedge [8, p. 20] closed under multiplication. The theory of such a structure has been developed principally by F. F. Bonsall, who originally considered semi-algebras of non-negative-valued functions, but later extended his research to include locally compact and convolution semi-algebras [1], [2], [3]. Independently, S. Bourne [6] defined semi-algebras and proved a representation theorem for a special class of them.

The present paper carries the study in a new direction. The question of determining maximal closed subalgebras of certain Banach algebras has received a great deal of attention (see, for example, [10]), but the corresponding problem for semi-algebras seems not to have been considered at all. That some interesting results might be obtained was suggested by the observation that, with real Euclidean 3-space considered naturally as a Banach algebra (operations defined point-wise), the solid  $\{(x, y, z): x, y, z \geq 0, z \leq x^{1/2}y^{1/2}\}$  is a maximal closed subsemi-algebra of the positive octant. I am grateful to Professor L. Nachbin, who, by conjecturing a possible generalization for continuous real-valued functions, led me into a deeper consideration of the problem, and to Professor F. F. Bonsall for his encouragement and supervision.

The setting for Chapter 1 is a real Banach algebra with multiplicative identity 1. The role of the positive octant in the above example is played by the principal semi-algebra, defined to be the least closed semi-algebra whose interior contains 1. A representation of this semi-algebra is given, along with some results and examples concerning its maximal closed subsemi-algebras. Chapter 2 deals with the particular Banach algebra of all continuous real-valued functions defined on a compact Hausdorff space, whose principal semi-algebra is the set of all its non-negative-valued functions. In this case, not only can *all* maximal

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(1) The results in this paper are contained in the author's doctoral thesis, prepared while he was a Commonwealth Scholar at the University of Newcastle-upon-Tyne in the United Kingdom.

closed subsemi-algebras of the principal semi-algebra be found, but a simple characterization of all their intersections can be given.

The paper which is probably most closely related to the results of Chapter 2 is one by W. B. Jurkat and G. G. Lorentz [11], which gives necessary and sufficient conditions involving simple geometric means that a pair of functions generate the uniformly closed semi-algebra of non-negative continuous functions on a compact Hausdorff space. It seems that it may be possible to obtain this result as part of the present theory. I note also that geometric means of functions have arisen in work by D. G. Bourgin [5] and J. F. C. Kingman [13].

The remainder of the introduction contains a list of results for later reference.

**0.2. The geometric mean of a non-negative continuous function.** Here, and throughout the paper,  $E$  denotes a compact Hausdorff space,  $C(E)$  the Banach algebra (with algebraic operations defined pointwise and the uniform norm) of all continuous real-valued functions defined on  $E$ ,  $C^+(E)$  the set of all non-negative functions in  $C(E)$  and  $C^\#(E)$  the set of all those functions in  $C(E)$  which are everywhere strictly positive. Consider  $C(E)$  as being ordered with positive cone  $C^+(E)$ . See N. Bourbaki [4] for background in integration theory.

Let  $\mu$  be a Radon probability measure on  $E$  (i.e. a positive measure of total mass 1). The *geometric mean with weight  $\mu$* , denoted by  $GM_\mu$ , is defined on  $C^+(E)$  by

$$GM_\mu f = \begin{cases} \exp \int \log f d\mu & \text{if } \log f \text{ is } \mu\text{-integrable} \\ 0 & \text{if } \log f \text{ is not } \mu\text{-integrable.} \end{cases}$$

(Note that  $\log f$  may be an extended real-valued function.) For  $f \in C^\#(E)$ ,  $\log f$  is  $\mu$ -integrable and the first alternative of the definition is valid. Observe that, if the support of  $\mu$  is a finite subset of  $E$ , then  $GM_\mu f$  is expressible as a finite product.

$GM_\mu$  is a non-negative monotone function on  $C^+(E)$  with the following properties (where  $f, g \in C^+(E)$ ,  $\lambda \geq 0$ ):

- (a)  $GM_\mu \lambda = \lambda$ ;
- (b)  $\lim_{\lambda \rightarrow 0+} GM_\mu(f + \lambda g) = GM_\mu f$ ;
- (c)  $0 \leq GM_\mu f \leq \mu(f) \leq \|f\|$ ;
- (d)  $GM_\mu f g = (GM_\mu f)(GM_\mu g)$ ;  $GM_\mu \lambda f = \lambda GM_\mu f$ ;  $GM_\mu(f + g) \geq GM_\mu f + GM_\mu g$ ;  
 $GM_\mu f^\lambda = (GM_\mu f)^\lambda$ .

The proofs are straightforward. For (b), because of the monotonicity of  $GM_\mu$ , it suffices to consider the integrals of the functions in the decreasing sequence  $\{\log(f + n^{-1}g)\}$  with pointwise limit  $\log f$ . If the sequence contains any non- $\mu$ -integrable member, or if the integrals are not bounded below, then  $\log f$  is not  $\mu$ -integrable. Otherwise, an application of the Beppo Levi Theorem [4, pp. 138, 149] yields the result. Since the second inequality of (c) and the superadditivity property in (d) are not quite obvious, a proof following that in [9, p. 138] is out-

lined. When  $\mu(f) > 0$  and  $\log f$  is  $\mu$ -integrable, it follows from the positivity of  $\mu$  and the inequality  $\log \beta \leq \beta - 1$  for positive real  $\beta$ , that

$$\begin{aligned} \int \log f d\mu &= \int \log(f/\mu(f)) d\mu + \log \int f d\mu \\ &\leq \int [(f/\mu(f)) - 1] d\mu + \log \int f d\mu = \log \int f d\mu. \end{aligned}$$

For the remaining cases, note that, for positive  $\lambda$ ,  $GM_\mu(f + \lambda) \leq \mu(f + \lambda)$  and use (b) and the continuity of  $\mu$ . To show the superadditivity of  $GM_\mu$ , observe that when  $f + g \in C^*(E)$ ,

$$GM_\mu(f/(f+g)) + GM_\mu(g/(f+g)) \leq \mu(f/(f+g)) + \mu(g/(f+g)) = 1;$$

when  $f + g$  has a zero, approximate to  $f$  by  $f + \lambda$ .

Finally, when  $\mu_1$  and  $\mu_2$  are probability measures on  $E$  and  $\mu = \alpha\mu_1 + (1 - \alpha)\mu_2$  for  $0 < \alpha < 1$ , then, for each  $f \in C^+(E)$ ,

$$GM_\mu f = (GM_{\mu_1} f)^\alpha \cdot (GM_{\mu_2} f)^{1-\alpha}.$$

**0.3. The theorem of Choquet and Deny.** A subset  $P$  of  $C(E)$  is an *upper semi-lattice* iff, whenever  $f, g \in P$ , it is true that  $f \cup g \in P$ , where

$$(f \cup g)(\xi) \equiv \max \{f(\xi), g(\xi)\} \quad (\xi \in E).$$

Let  $\mu$  be a positive Radon measure on  $E$  and  $\xi$  be a point of  $E$ . Then the sets

$$\begin{aligned} U_{\mu, \xi} &\equiv \{f: f \in C(E), \mu(f) \geq f(\xi)\}, \\ U_\mu &\equiv \{f: f \in C(E), \mu(f) \geq 0\} \end{aligned}$$

are both uniformly closed wedges which are upper semi-lattices. The following theorem states that every upper semi-lattice uniformly closed wedge is the intersection of wedges of the types  $U_{\mu, \xi}$  and  $U_\mu$ . Let  $\delta_\xi$  denote the measure with all of its unit mass concentrated at the point  $\xi$ , and  $W'$  denote the dual wedge of all continuous real linear functionals taking non-negative values on the wedge  $W$ .

**THEOREM 0.1 (CHOQUET-DENY).** *Let  $W$  be a uniformly closed wedge contained in  $C(E)$  which is an upper semi-lattice. Suppose that  $\mathcal{U}_1$  is the family of all pairs  $(\mu, \xi)$  with  $\xi \in E$  and  $\mu$  a positive Radon measure such that  $\mu(\{\xi\}) = 0$  and  $\mu - \delta_\xi \in W'$ ; suppose that  $\mathcal{U}_2$  is the family of all positive Radon measures  $\mu$  such that  $\mu \in W'$ . Then:*

$$W = \left( \bigcap_{(\mu, \xi) \in \mathcal{U}_1} U_{\mu, \xi} \right) \cap \left( \bigcap_{\mu \in \mathcal{U}_2} U_\mu \right).$$

For the proof, see [7]. The theorem is valid even if  $\mathcal{U}_1$  is void and  $\mathcal{U}_2$  consists of the zero measure alone. (The convention that a void intersection is the whole of the space is adopted.)

## CHAPTER 1

**1.1. The principal semi-algebra.** Throughout this chapter,  $B$  is a real Banach algebra with multiplicative identity 1. Denote by  $G$  the set of regular elements of  $B$ . The space  $\Phi_B^c$  of nontrivial complex homomorphisms on  $B$  is compact in the Gelfand topology; the family  $\Phi_B$  of all nontrivial real homomorphisms is a closed subset of  $\Phi_B^c$ . A nonvoid subset  $A$  of  $B$  is a *semi-algebra* iff

$$x, y \in A, \lambda \geq 0 \Rightarrow x + y, xy, \lambda x \in A.$$

Denote by  $B^*$  the dual space consisting of all continuous real linear functionals on  $B$ , by  $A'$  the subset of those functionals in  $B^*$  taking non-negative values on  $A$ . Let  $R$  be the set of reals,  $R^+$  the set of non-negative reals. The proof of the following theorem is similar to the proof of a theorem given by Kadison in [12, p. 8].

**THEOREM 1.1.** *Let  $A$  be any closed subsemi-algebra of  $B$  whose interior contains 1. Then  $A = \bigcap_{\phi \in \Psi_A} A_\phi$ , where  $\Psi_A \equiv \{\phi: \phi \in \Phi_B \cap A'\}$  and  $A_\phi \equiv \{x: x \in B, \phi(x) \geq 0\}$ .*

**Proof.** Let  $M \equiv \{\mu: \mu \in A', \mu(1) = 1\}$ . Then, because 0 is the only functional in  $A'$  which can vanish at the interior point 1,  $A' = (\bigcup_{\lambda \geq 0} \lambda M)$ . Note that  $\Psi_A \subseteq M$ .

Suppose that  $M$  is not void. Then  $M$  is convex and weak\* closed. For some positive  $\delta$ ,  $A$  contains the open  $\delta$ -ball with centre 1, so that  $M$  is contained in the closed  $\delta^{-1}$ -ball with centre 0. Thus  $M$  is weak\* compact. The Krein-Milman Theorem [8, p. 78] asserts that  $M$  possesses extreme points, of which it is the weak\* closed convex hull. Let  $\mu$  be one of these extreme points. If  $u \in A, \|u\| < \delta$ ,  $0 < \mu(u) < 1$  and  $\mu_1(x) \equiv (\mu(u))^{-1} \mu(ux)$ ,  $\mu_2(x) \equiv (\mu(1-u))^{-1} \mu(x-ux)$  ( $\forall x \in B$ ), then  $1-u \in A$ ,  $\mu_1, \mu_2 \in M$  and  $\mu = \mu(u)\mu_1 + \mu(1-u)\mu_2$ . Since  $\mu$  is extreme,  $\mu = \mu_1$  so that  $\mu(u)\mu(x) = \mu(ux)$  ( $\forall x \in B$ ). Evidently, this equation will hold for any element  $u$  of  $A$ . Since  $A$  has a nonvoid interior,  $B = A - A$ , so that  $\mu$  is in fact a multiplicative functional, hence a member of  $\Psi_A$ . If  $x \in \bigcap_{\phi \in \Psi_A} A_\phi$ , then  $\mu(x) \geq 0$  for each functional  $\mu$  in  $A'$ , so that, since  $A$  is closed,  $x \in A$ . (See [8, p. 22].) On the other hand, for any  $x \in A$ , clearly  $x \in \bigcap_{\phi \in \Psi_A} A_\phi$ .

If  $M$  is void, then  $\Psi_A$  is void and  $A' = \{0\}$ . If  $A$  were proper in  $B$ , it would be possible by Theorem 6 of [8, p. 22] to find a nontrivial functional in  $A'$ . Hence, in this case also,  $A = B = \bigcap_{\phi \in \Psi_A} A_\phi$ .

**COROLLARY.** *Any closed subsemi-algebra of  $B$  whose interior contains 1 must contain  $\bigcap_{\phi \in \Phi_B} A_\phi$ . Thus  $\bigcap_{\phi \in \Phi_B} A_\phi$  is the least closed semi-algebra containing 1 as interior point.*

**Proof.** The first statement is clear. To see the second, observe that each  $A_\phi$  contains the open unit ball with centre 1,

DEFINITION. The *principal semi-algebra*  $B_+$  contained in  $B$  is the least closed semi-algebra containing 1 as an interior point.

The corollary asserts that  $B_+$  certainly exists. One property of  $B_+$  which will be used later is that, for each element  $u$  belonging to  $G \cap B_+$ , the inverse  $u^{-1}$  also belongs to  $B_+$ .

### 1.2. Maximal closed subsemi-algebras of $B_+$ .

DEFINITION. A closed subsemi-algebra of  $B_+$  which is not properly contained in any proper closed subsemi-algebra of  $B_+$  is said to be *maximal closed* in  $B_+$ .

THEOREM 1.2. (i) If  $\Phi_B$  is void or contains at least two elements, each maximal closed subsemi-algebra of  $B_+$  contains the identity 1.

(ii) If  $\Phi_B$  consists of the single element  $\phi$ , then  $\{x: x \in B_+, \phi(x) = 0\}$  is the unique maximal closed subsemi-algebra of  $B_+$  which does not contain 1.

Before proving this theorem, we need some results about adjoining the identity to a subsemi-algebra  $A$  of  $B$ . Let

$$A_1 \equiv \{a + \alpha: a \in A, \alpha \geq 0\}.$$

LEMMA 1.1. If  $A$  is a closed subsemi-algebra of  $B$ , then  $A_1$  is also a closed subsemi-algebra. Furthermore

$$A_1' = \{\mu: \mu \in A', \mu(1) \geq 0\}.$$

**Proof.** The result that  $A_1$  is a semi-algebra and the equality for  $A_1'$  are straightforward. If  $1 \in A$ , then  $A_1 = A$  is closed. Suppose that 1 does not belong to  $A$  and that  $z$  lies in the closure of  $A_1$ . Then there exists a sequence  $\{x_n + \alpha_n: x_n \in A, \alpha_n \in \mathbf{R}^+\}$  such that  $\lim_{n \rightarrow \infty} (x_n + \alpha_n) = z$ . If  $\{\alpha_n\}$  has no convergent subsequence, then there is a subset  $D$  of the positive integers such that  $\lim_{n \rightarrow \infty, n \in D} \alpha_n = \infty$ . Because  $\|\alpha_n^{-1} x_n + 1\| \leq \alpha_n^{-1} \|x_n + \alpha_n - z\| + \alpha_n^{-1} \|z\|$ , it follows that  $-1 = \lim_{n \rightarrow \infty, n \in D} \alpha_n^{-1} x_n$ , so that  $-1 \in A$ . But then  $1 = (-1)^2 \in A$ , giving a contradiction. Hence  $\{\alpha_n\}$  has a convergent subsequence with non-negative limit  $\alpha$ , say. It can be checked that  $\{x_n\}$  has a corresponding subsequence with limit  $z - \alpha$ , which must lie in  $A$ . Thus  $z = (z - \alpha) + \alpha \in A_1$ , and  $A_1$  is closed.

LEMMA 1.2. (i) If  $\Phi_B$  is void, or contains at least two elements, then there is no proper closed subsemi-algebra  $A$  of  $B_+$  with  $A_1 = B_+$ .

(ii) If  $\Phi_B$  consists of the single element  $\phi$ , then  $A \equiv \{x: x \in B, \phi(x) = 0\}$  is the unique closed subsemi-algebra of  $B_+$  with  $A_1 = B_+$ .

**Proof.** By Theorem 1.1, if  $\Phi_B$  is void, then  $B_+ = B$ . If a closed semi-algebra  $A$  satisfies  $A_1 = B_+ = B$ , then  $-1 = x + \alpha$  for some  $x \in A$  and  $\alpha \geq 0$ , with the result that  $1 = (1 + \alpha)^{-2} x^2 \in A$  and  $A = B$ . Now let  $\Phi_B$  and  $A$  be as specified in (ii) and let  $x \in B_+$ . Since  $x = (x - \phi(x)) + \phi(x)$ ,  $x$  belongs to  $A_1$ .

If  $\Phi_B$  is nonvoid, suppose that  $A$  is a proper closed subsemi-algebra of  $B_+$  with  $A_1 = B_+$ . Then there exists a functional  $\mu \in A'$  with  $\mu(1) = -1$ . Let  $\phi \in \Phi_B$ . Then  $\mu + \phi \in A'$ ,  $(\mu + \phi)(1) = 0$  so that  $\mu + \phi$  is a functional in  $B'_+$  vanishing at the interior point 1. Hence  $\mu + \phi = 0$ , i.e.  $\phi = -\mu$ . Thus  $\Phi_B$  consists of a single element and  $\mu$  is the sole functional in  $A'$  with  $\mu(1) = -1$ . It follows that

$$B'_+ = \{\lambda\phi : \lambda \in \mathbf{R}^+\},$$

$$A' = \{\lambda\mu : \lambda \in \mathbf{R}^+\} \cup B'_+ = \{\lambda\phi : \lambda \in \mathbf{R}\},$$

so that  $A = \{x : x \in B, \phi(x) = 0\}$ .

**Proof of Theorem 1.2.** If  $A$  is a maximal closed subsemi-algebra of  $B_+$ , either  $1 \in A$  or,  $A_1$  being closed,  $A_1 = B_+$ . Lemma 1.2 shows that the only possibility for the latter case is that  $\phi_B$  consists of a single element,  $\Phi$  say, and that  $A = \{x : x \in B, \phi(x) = 0\}$ . Suppose this to be so; then we show that, for each  $z \in B_+ \setminus A$ , the least closed semi-algebra  $(A, z)$  containing  $A$  and the element  $z$  is in fact  $B_+$ , so that  $A$  is indeed maximal. For, if  $y \in B_+$ , then  $y - (\phi(y)/\phi(z))z \in A$ , so that  $y = [y - (\phi(y)/\phi(z))z] + [(\phi(y)/\phi(z))z] \in (A, z)$ . Then  $B_+ \subseteq (A, z)$ , and the theorem follows.

**REMARK.** Case (ii) may actually occur; for example,  $\{0\}$  is maximal closed in  $\mathbf{R}^+$ . See also §1.4, Example 1.1.

**THEOREM 1.3.** *Let  $A$  be a proper closed subsemi-algebra of  $B_+$  which contains an interior point  $a$ . Then  $A$  is contained in a maximal closed subsemi-algebra of  $B_+$ .*

**Proof.** It follows from Lemmata 1.1 and 1.2 that  $A_1$  is also a proper closed subsemi-algebra of  $B_+$ . Choose  $\lambda > 0$  sufficiently large that  $b = a + \lambda$  is regular. Since  $\lambda \in A_1$  and  $a \in \text{int } A_1$ , it follows that  $b$  is an interior point of  $A_1$ . Choose an open neighborhood  $U$  of  $b$  which consists entirely of regular elements and is contained in  $A_1$ .

Let  $P$  be any proper closed subsemi-algebra of  $B_+$  which contains  $A_1$ . Suppose, if possible, that  $u \in U^{-1} \cap P$ . Then  $1 = uu^{-1} \in uU \subseteq \text{int } P$ , so that  $P = B_+$ , contrary to assumption. Hence  $U^{-1} \cap P = \emptyset$ . Zorn's Lemma permits the choosing of a maximal chain (ordered by inclusion) of such semi-algebras  $P$ , and the closure of the union of the members of this chain is a maximal closed subsemi-algebra of  $B_+$  containing  $A_1$  (and hence  $A$ ), but not intersecting the open set  $U^{-1}$ .

**1.3. Geometric semi-algebras.** In this section, suppose that  $B$  is a real Banach algebra with  $\Phi_B \neq \emptyset$ .

**DEFINITION.** Let  $\sigma \in B^*$ .  $\sigma$  is a *positive linear functional* iff  $\sigma(x) \geq 0$  ( $\forall x \in B_+$ ).  $\sigma$  is a *positive normalized linear functional (p.n.l.f.)* iff  $\sigma$  is positive and satisfies  $\sigma(1) = 1$ .

Suppose  $\Phi_B$  to have the Gelfand topology. For  $x \in B$ , the function  $f_x$  defined on  $\Phi_B$  by

$$f_x(\phi) = \phi(x) \quad (\forall \phi \in \Phi_B)$$

is continuous. The mapping  $x \rightarrow f_x$  is a continuous homomorphism of  $B$  onto a uniformly dense subalgebra  $C_B$  of  $C(\Phi_B)$ . For a p.n.l.f.  $\sigma$  on  $B$ , define the functional  $\bar{\sigma}$  on  $C_B$  by

$$\bar{\sigma}(f_x) = \sigma(x) \quad (\forall x \in B).$$

It is easily verified that, if  $f_x = f_y$ , then  $\sigma(x) = \sigma(y)$ , so that the definition is meaningful. The positivity of  $\bar{\sigma}$  on  $C_B \cap C^+(\Phi_B)$  means that  $\bar{\sigma}$  can be uniquely extended to a Radon probability measure defined on the whole of  $C(\Phi_B)$ .

DEFINITION. Let  $\sigma$  be a p.n.l.f. on  $B$ ; denote by  $\bar{\sigma}$  the probability measure induced by  $\sigma$  on  $\Phi_B$ . The functional  $\gamma_\sigma$  is defined for  $x \in B_+$  by

$$\gamma_\sigma(x) = GM_{\bar{\sigma}}f_x.$$

LEMMA 1.3. Let  $\sigma$  be a p.n.l.f. on  $B$ . For  $x, y \in B_+$ ,  $\lambda \geq 0$ :

- (a)  $0 \leq \gamma_\sigma(x)$ ;  $\gamma_\sigma(\lambda) = \lambda$ ;
- (b)  $y - x \in B_+ \Rightarrow \gamma_\sigma(x) \leq \gamma_\sigma(y)$ ;
- (c)  $\lim_{\lambda \rightarrow 0+} \gamma_\sigma(x + \lambda y) = \gamma_\sigma(x)$ ;
- (d)  $0 \leq \gamma_\sigma(x) \leq \sigma(x) \leq \|x\|$ ;
- (e)  $\gamma_\sigma(xy) = \gamma_\sigma(x)\gamma_\sigma(y)$ ;
- (f)  $\gamma_\sigma(x + y) \geq \gamma_\sigma(x) + \gamma_\sigma(y)$ ;
- (g)  $\gamma_\sigma(\lambda x) = \lambda\gamma_\sigma(x)$ ;
- (h) if  $x \in G$ , then  $\gamma_\sigma(x^{-1}) = (\gamma_\sigma(x))^{-1}$ .

For  $\phi \in \Phi_B$ , it is true that  $\gamma_\phi(x) = \phi(x)$ .

Let  $\sigma_1$  and  $\sigma_2$  be two p.n.l.f.'s on  $B$  and suppose that  $\sigma = \alpha\sigma_1 + (1 - \alpha)\sigma_2$  ( $0 < \alpha < 1$ ). Then  $\gamma_\sigma(x) = (\gamma_{\sigma_1}(x))^\alpha (\gamma_{\sigma_2}(x))^{1-\alpha}$  for all  $x \in B_+$ .

**Proof.** These properties are consequences of the corresponding properties of  $GM_{\bar{\sigma}}$  given in §0.2 and the homomorphic character of the mapping  $x \rightarrow f_x$ . For example, (b) is proved by the following chain of implications:

$$\begin{aligned} y - x \in B_+ &\Rightarrow (f_y - f_x)(\phi) = (f_{y-x})(\phi) \geq 0 \quad (\forall \phi \in \Phi_B) \\ &\Rightarrow f_y \geq f_x \Rightarrow \gamma_\sigma(y) = GM_{\bar{\sigma}}f_y \geq GM_{\bar{\sigma}}f_x = \gamma_\sigma(x). \end{aligned}$$

LEMMA 1.4. Let  $\sigma$  be a p.n.l.f. on  $B$ . Then  $\gamma_\sigma$  is continuous on the interior of  $B_+$ ; in particular,  $\gamma_\sigma$  is continuous on  $G \cap B_+$ .

**Proof.** Note that  $x \rightarrow \gamma_\sigma(x)$  is the composition of the continuous mappings  $x \rightarrow f_x$  (of  $\text{int } B_+$  into  $C^\#(\Phi_B)$ ),  $f \rightarrow \log f$  (of  $C^\#(\Phi_B)$  into  $C(\Phi_B)$ ),  $f \rightarrow \bar{\sigma}(f)$  (of  $C(\Phi_B)$  into  $\mathbb{R}$ ),  $t \rightarrow e^t$  (of  $\mathbb{R}$  into  $\mathbb{R}^+$ ).

**THEOREM 1.4.** *Let  $\sigma$  be a p.n.l.f. on  $B$  and let  $\psi \in \Phi_B$ . Define:*

$$H_{\sigma,\psi} \equiv \{x: x \in B_+, \psi(x) \leq \gamma_\sigma(x)\}.$$

*If  $\sigma = \psi$ , then  $H_{\sigma,\psi} = B_+$ . If  $\sigma \neq \psi$ , then  $H_{\sigma,\psi}$  is a proper closed subsemi-algebra of  $B_+$  which contains the identity.*

**Proof.** If  $\sigma = \psi$ , then  $\gamma_\sigma = \psi$ , by Lemma 1.3, so that the first statement is true. Henceforth, assume that  $\sigma \neq \psi$ . If  $H_{\sigma,\psi}$  were not proper in this case, then  $\psi(x) \leq \gamma_\sigma(x)$  for each  $x \in B_+$ , whereupon  $(\log f)(\psi) \leq \bar{\sigma}(\log f)$  for each function  $f$  in  $C^*(\Phi_B) \subset \text{Cl}(C_B \cap C^*(\Phi_B))$ , and  $f(\psi) \leq \bar{\sigma}(f)$  for each function  $f$  in  $C(\Phi_B)$ . Since  $C(\Phi_B)$  is closed under the taking of additive inverses, it follows that  $f(\psi) = \bar{\sigma}(f)$  ( $\forall f \in C(\Phi_B)$ ), and a contradiction is obtained.

The properties of the functional  $\gamma_\sigma$  listed in Lemma 1.3 can be used to show that  $H_{\sigma,\psi}$  is a semi-algebra containing the identity. Suppose that  $y$  belongs to the closure of  $H_{\sigma,\psi}$ . Then there exists a sequence  $\{y_n\}$  such that  $y_n \in H_{\sigma,\psi}$  and  $\|y - y_n\| < n^{-1}$  for each positive integer  $n$ . Therefore  $|\phi(y - y_n)| < n^{-1}$ , so that

$$\phi(y - (1/n)) < \phi(y_n) < \phi(y + (1/n))$$

for each  $\phi \in \Phi_B$ , each positive integer  $n$ . Since  $\phi(y + (1/n) - y_n) > 0$  ( $\forall \phi \in \Phi_B$ ), it is true that  $y + (1/n) - y_n \in B_+$ , so that, by Lemma 1.3(b),  $\gamma_\sigma(y_n) \leq \gamma_\sigma(y + (1/n))$ . Hence:

$$\psi(y - (1/n)) \leq \psi(y_n) \leq \gamma_\sigma(y_n) \leq \gamma_\sigma(y + (1/n))$$

for each positive integer  $n$ . Letting  $n$  tend to infinity and making use of Lemma 1.3(c), we obtain that  $\psi(y) \leq \gamma_\sigma(y)$ , so that  $y \in H_{\sigma,\psi}$ . Thus,  $H_{\sigma,\psi}$  is closed and the proof is complete.

**DEFINITION.** A *geometric semi-algebra* contained in  $B_+$  is a semi-algebra of the form  $H_{\sigma,\psi}$  where  $\sigma$  is a p.n.l.f. on  $B$  and  $\psi \in \Phi_B$ . It is implicit in the definition that  $\sigma \neq \psi$ .

For any geometric semi-algebra, it can always be arranged that the p.n.l.f. involved in the definition is not a nontrivial convex combination of the homomorphism involved and another p.n.l.f. For, if  $\sigma = \alpha\psi + (1 - \alpha)\omega$  where  $0 < \alpha < 1$  and  $\omega$  is a p.n.l.f., then, by the final part of Lemma 1.3,  $H_{\sigma,\psi} = H_{\omega,\psi}$ . The remainder of this section will be devoted to showing that the geometric semi-algebras are maximal closed subsemi-algebras of the principal semi-algebra. For  $H$  a closed subsemi-algebra of  $B_+$  and  $v$  an element of  $B_+$ , the least closed subsemi-algebra of  $B_+$  containing  $H$  and the element  $v$  will be denoted by  $(H, v)$ . The maximality of  $H$  is equivalent to the property that  $(H, v) = B_+$  whenever  $v \in B_+ \setminus H$ .

**LEMMA 1.5.** *Let  $H_{\sigma,\psi}$  be a geometric semi-algebra contained in  $B_+$ . If  $u$  is an element of  $B_+$  belonging to  $G \setminus H_{\sigma,\psi}$ , then  $(H_{\sigma,\psi}, u) = B_+$ .*



**Proof.** It can be seen that  $\gamma_\sigma(u^{-1}) > \psi(u^{-1})$ , so that by the continuity of  $\gamma_\sigma$  and  $\psi$  on  $B_+ \cap G$ , there exists a neighbourhood  $V$  of  $u^{-1}$  contained in  $H_{\sigma,\psi}$ . Then  $uV$  is a neighbourhood of 1 contained in  $(H_{\sigma,\psi}, u)$ , and the result follows by the definition of  $B_+$ .

**LEMMA 1.6.** *Let  $H_{\sigma,\psi}$  be a geometric semi-algebra contained in  $B_+$ . If  $v \in B_+$  and  $\psi(v) > \sigma(v)$ , then  $(H_{\sigma,\psi}, v) = B_+$ .*

**Proof.** Choose  $\lambda \in (0, 1]$  such that  $\lambda < (\|1 - v\|)^{-1}$ . Then  $u = \lambda v + (1 - \lambda)$  satisfies the conditions of Lemma 1.5. Hence  $B_+ = (H_{\sigma,\psi}, u) \subseteq (H_{\sigma,\psi}, v) \subseteq B_+$ .

**LEMMA 1.7.** *Let  $H_{\sigma,\psi}$  be a geometric semi-algebra contained in  $B_+$ . If  $v \in B_+ \setminus H_{\sigma,\psi}$ , and if  $\psi(v) \leq \sigma(v)$ , then  $(H_{\sigma,\psi}, v) = B_+$ .*

**Proof.** Choose a positive real  $\lambda$  sufficiently small that  $u = v + \lambda$  does not belong to  $H_{\sigma,\psi}$ . Then  $f_u$  is a regular element of  $C^+(\Phi_B)$  and  $(f_u)(\psi) = \psi(u) > \gamma_\sigma(u) = GM_{\bar{\sigma}}f_u$ , so that  $(f_u)^{-1}(\psi) < GM_{\bar{\sigma}}(f_u)^{-1}$  for the multiplicative inverse of  $f_u$ . Choose a uniform neighbourhood  $V$  of  $f_u^{-1}$  with  $V \subseteq C^*(\Phi_B)$  and  $f(\psi) < GM_{\bar{\sigma}}f$  ( $\forall f \in V$ ). Since  $C_B \cap C^+(\Phi_B)$  is uniformly dense in  $C^+(\Phi_B)$ , it is possible to find in  $V$  a function  $f_z$ , for some  $z \in B_+$ , such that  $(f_u f_z)(\psi) - \bar{\sigma}(f_u f_z) > 0$ . (Note that  $f_u^{-1}$  lies in the proper closed hyperplane  $\{f: f \in C(\Phi_B), (f_u f)(\psi) - \bar{\sigma}(f_u f) = 0\}$ .) Then  $z \in H_{\sigma,\psi}$  and  $\psi(uz) > \sigma(uz)$ . Hence,  $uz \in (H_{\sigma,\psi}, u)$  and, by Lemma 1.6,

$$B_+ = (H_{\sigma,\psi}, uz) \subseteq (H_{\sigma,\psi}, u) \subseteq (H_{\sigma,\psi}, v) \subseteq B_+.$$

Combining Lemmata 1.6 and 1.7, and noting that for distinct elements  $\psi_1$  and  $\psi_2$  in  $\Phi_B$ ,  $H_{\psi_1, \psi_2}$  is a geometric semi-algebra, we obtain the following result:

**THEOREM 1.5.** *Let  $B$  be a real Banach algebra with identity such that  $\Phi_B$  possesses at least two elements. Then  $B_+$  contains geometric semi-algebras and each such semi-algebra is a maximal closed subsemi-algebra of  $B_+$ .*

**REMARK.** If  $\Phi_B$  has a single element, then this element is the only p.n.l.f.

**1.4. Other examples of maximal closed subsemi-algebras.** In general, not every maximal closed subsemi-algebra of the principal semi-algebra of a Banach algebra is geometric. This section indicates other possibilities.

**THEOREM 1.6.** *Let  $\psi$  be a complex homomorphism on  $B$  not contained in  $\Phi_B$ . Then*

$$K_\psi \equiv \{x: x \in B_+, \psi(x) \text{ is real}\}$$

*is a maximal closed subsemi-algebra of  $B_+$ .*

**Proof.** Evidently  $K_\psi$  is a proper closed subsemi-algebra of  $B_+$  which contains the identity. For  $u \in B_+ \setminus K_\psi$ , let  $(K_\psi, u)$  be the least closed semi-algebra

containing  $K_\psi$  and  $u$ , and suppose that  $\psi(u) = \lambda e^{i\theta}$ . Note that  $\theta$  is not an integral multiple of  $\pi$ .

Suppose  $v \in B_+$  and that  $\psi(v) = \alpha e^{i\omega}$ . If  $\omega$  is an integral multiple of  $\pi$ , then  $v \in K_\psi$ . If  $\omega$  is not an integral multiple of  $\pi$ , then positive real  $\beta$  and  $\rho$ , and a positive integer  $m$  can be chosen so that  $\lambda e^{i\theta} + \beta = \rho e^{i\omega/m}$  (for  $\omega$  suitably modified if necessary) and  $u + \beta$  is regular. It can be checked that  $u + \beta \in (K_\psi, u)$  and that  $v(u + \beta)^{-m} \in K_\psi \subseteq (K_\psi, u)$ . Hence  $v = v(u + \beta)^{-m}(u + \beta)^m \in (K_\psi, u)$ . The required result follows.

REMARK. A semi-algebra of the form  $K_\psi$  may certainly be strict (i.e.  $x, -x \in K_\psi \Rightarrow x = 0$ ). For, if  $B$  be the real Banach algebra of functions continuous on the closed unit disc, analytic on its interior and taking real values on the real axis, then  $B_+$ , and, a fortiori, the  $K_\psi$  (corresponding to points of the disc not on the real axis) are strict.

The sort of situation which may occur even when there are no strictly complex homomorphisms on  $B$  is illustrated by two examples.

EXAMPLE 1.1. Let  $K^{(2)}$  be the set of all real triples  $x \equiv (x_0, x_1, x_2)$  with the norm and algebraic operations defined by:

$$\begin{aligned}\|x\| &= \sum_{i=0}^2 |x_i|, \\ (x+y)_i &= x_i + y_i, \\ (xy)_i &= \sum_{j=0}^i x_j y_{i-j}, \quad i = 0, 1, 2 \\ (\lambda x)_i &= \lambda x_i\end{aligned}$$

for elements  $x$  and  $y$ , and real  $\lambda$ . The multiplicative identity is the element  $1 \equiv (1, 0, 0)$ . Since  $\Phi_{K^{(2)}}$  consists of the single homomorphism  $x \rightarrow x_0$ , the principal semi-algebra  $K_+^{(2)}$  is the set  $\{x: x \in K^{(2)}, x_0 \geq 0\}$ .

Define the sets:

$$\begin{aligned}P &\equiv \{x: x \in K_+^{(2)}, x_1 \geq 0\}, \\ Q &\equiv \{x: x \in K_+^{(2)}, x_1 \leq 0\}, \\ R &\equiv \{x: x \in K_+^{(2)}, x_0 = 0\}, \\ S_\beta &\equiv \{x: x \in K_+^{(2)}; 2x_0x_2 - x_1^2 \geq \beta x_0x_1; x_1 = 0, x_2 \geq 0 \text{ when } x_0 = 0\},\end{aligned}$$

where  $\beta$  is a real number. Each of these sets is a maximal closed subsemi-algebra of  $K_+^{(2)}$ . The maximality of  $R$  is a consequence of Theorem 1.2. The maximality of the others can be proved by an argument similar to that used for geometric semi-algebras.

EXAMPLE 1.2. Let  $C^{(2)}[0, 1]$  be the Banach algebra of all real-valued continuous twice continuously differentiable functions defined on the closed unit

interval, with the algebraic operations defined pointwise and the norm  $\|\cdot\|$  given by  $\|f\| \equiv \sup \{|f(t)| + |f'(t)| + \frac{1}{2}|f''(t)| : 0 \leq t \leq 1\}$  for any member  $f$ . The principal semi-algebra  $C_+^{(2)}[0, 1]$  is precisely the set of functions which take only non-negative values.

For  $s$  a fixed point of  $[0, 1]$ ,  $\beta$  some real number and  $\alpha$  some *nonpositive* real number, define the linear functional  $\rho$  on  $C^{(2)}[0, 1]$  by

$$\rho(g) \equiv g(s) + \beta g'(s) + \alpha g''(s) \quad (\forall g \in C^{(2)}[0, 1]).$$

The functional  $\rho$  can be extended in an obvious manner so that when  $f \in C_+^{(2)}[0, 1]$  and  $f(s) > 0$ ,  $\log f$  exists in a neighbourhood of  $s$  and  $\rho(\log f)$  is defined. Define  $\tau_\rho$  for  $f \in C_+^{(2)}[0, 1]$  by

$$\tau_\rho(f) \equiv \begin{cases} \exp \rho(\log f) & \text{whenever } f(s) > 0 \\ \lim_{\lambda \rightarrow 0^+} \tau_\rho(f + \lambda) & \text{whenever } f(s) = 0. \end{cases}$$

$\tau_\rho$  may be positively infinite when  $f(s) = 0$ . Leaving the products  $\infty \cdot \infty$  and  $0 \cdot \infty$  undefined and using the usual conventions otherwise in dealing with infinity, we can show that, for  $f, g \in C_+^{(2)}[0, 1]$ :

$$\begin{aligned} 0 &\leq \tau_\rho(f); \quad \tau_\rho(f + g) \leq \tau_\rho(f) + \tau_\rho(g); \\ \tau_\rho(\lambda) &= \lambda, \quad \tau_\rho(\lambda f) = \lambda \tau_\rho(f) \quad (\forall \lambda \geq 0); \\ \tau_\rho(fg) &= \tau_\rho(f)\tau_\rho(g), \end{aligned}$$

whenever the latter product is defined. For  $\sigma$  a p.n.l.f. on  $C^{(2)}[0, 1]$  not equal to  $\rho$ , define the set

$$H_{\sigma, \rho} \equiv \{f \in C_+^{(2)}[0, 1], \quad \tau_\rho(f) \leq \tau_\sigma(f)\}.$$

$H_{\sigma, \rho}$  is a maximal closed subsemi-algebra of  $C_+^{(2)}[0, 1]$ .

## CHAPTER 2

**2.1. Intersections of geometric semi-algebras contained in  $C^+(E)$ .** In this section a characterization of those subsemi-algebras of  $C^+(E)$  which are the intersections of families of geometric semi-algebras will be given. Define:

$$H_{\sigma, \xi} \equiv \{f: f \in C^+(E), \quad f(\xi) \leq GM_\sigma f\}$$

for a point  $\xi$  in  $E$  and a probability measure  $\sigma$  on  $E$ . Since every homomorphism of  $C(E)$  into the real numbers arises from a point of  $E$ , each geometric semi-algebra has the form  $H_{\sigma, \xi}$ .

**DEFINITION.** A subset  $S$  of  $C^+(E)$  is *power closed* iff, for each positive real  $\lambda$ ,  $S$  contains, along with any member  $f$ , the function  $f^\lambda$ , defined for  $\xi \in E$  by

$$f^\lambda(\xi) \equiv \text{principal value } (f(\xi))^\lambda.$$

A uniformly closed power closed subsemi-algebra of  $C^+(E)$  is called a *cornet*.

Any geometric semi-algebra is a cornet with identity. Further, since for  $f, g \in C^+(E)$ ,  $\lim_{n \rightarrow \infty} (f^n + g^n)^{1/n} = f \cup g$ , each cornet is an upper semi-lattice. (The term "lim" refers to the taking of the uniform limit.)

**THEOREM 2.1.** *Let  $P$  be a uniformly closed subset of  $C^+(E)$  which satisfies the conditions:*

- (i)  $P$  is closed under multiplication;
- (ii)  $\lambda P \subseteq P$  for each positive real  $\lambda$ ;
- (iii)  $P$  is an upper semi-lattice;
- (iv)  $P$  is power closed;
- (v)  $P$  contains the identity function 1.

*Let  $\mathcal{F}$  be the set of all pairs  $(\sigma, \xi)$  with  $\sigma$  a probability measure and  $\xi$  a point of  $E$ , such that  $P \subseteq H_{\sigma, \xi}$ . Then:*

$$P = \bigcap_{(\sigma, \xi) \in \mathcal{F}} H_{\sigma, \xi}.$$

**REMARK.** It is not stipulated that  $P$  is closed under addition. This more general form of the theorem will be useful later. The theorem is valid even when  $\mathcal{F}$  is void.

**Proof.** Let  $P^* = P \cap C^*(E)$ . Then, since  $f \cup \varepsilon \in P^*$  for  $f \in P$  and  $\varepsilon > 0$ ,  $P$  is the uniform closure of  $P^*$ . The set  $W \equiv \log P^*$  is a closed wedge in  $C(E)$  which is an upper semi-lattice. Let  $\mathcal{U}_1$  and  $\mathcal{U}_2$  be defined with respect to  $W$  as in Theorem 0.1. Because the functions 1 and  $-1$  belong to  $W$ ,  $\mathcal{U}_2$  consists of the zero measure alone and, for each  $(\sigma, \xi) \in \mathcal{U}_1$ ,  $\sigma$  is a probability measure.

By Theorem 0.1,  $W = \bigcap_{(\sigma, \xi) \in \mathcal{U}_1} U_{\sigma, \xi}$ , so that  $P^* = (\bigcap_{(\sigma, \xi) \in \mathcal{U}_1} H_{\sigma, \xi}) \cap C^*(E)$ . It is straightforward to show that  $P = \bigcap_{(\sigma, \xi) \in \mathcal{U}_1} H_{\sigma, \xi}$ . Finally, noting that  $\mathcal{U}_1 \subseteq \mathcal{F}$  and that  $P \subseteq H_{\sigma, \xi}$  for  $(\sigma, \xi) \in \mathcal{F}$ , we obtain that  $P = \bigcap_{(\sigma, \xi) \in \mathcal{U}_1} H_{\sigma, \xi} \supseteq \bigcap_{(\sigma, \xi) \in \mathcal{F}} H_{\sigma, \xi} \supseteq P$ .

**COROLLARY 1.** *The set  $P$  described in the theorem is a semi-algebra (and hence a cornet).*

**COROLLARY 2.** *Let  $A$  be a cornet with identity,  $\mathcal{F}$  be the set of all pairs  $(\sigma, \xi)$  with  $\sigma$  a probability measure,  $\xi$  a point of  $E$ , such that  $A \subseteq H_{\sigma, \xi}$ . Then  $A = \bigcap_{(\sigma, \xi) \in \mathcal{F}} H_{\sigma, \xi}$ .*

The next result is a useful application of Theorem 2.1. For a subsemi-algebra  $A$  of  $C(E)$ , define the subset of  $E$ :

$$N(A) \equiv \{\eta: \eta \in E, f(\eta) = 0 \quad (\forall f \in A)\}.$$

**THEOREM 2.2.** *Let  $A$  be a uniformly closed subsemi-algebra of  $C^+(E)$  with  $N(A)$  void. Define:*

$$P_A \equiv \{f: f \in C^+(E), f^n \in A \text{ for some positive integer } n \equiv n(f)\}$$

$$\sqrt{A} \equiv \bar{P}_A, \text{ the uniform closure of } P_A.$$

*Then  $\sqrt{A}$  is the least cornet containing  $A$ .*

**Proof.** Clearly, any cornet containing  $A$  must contain  $\sqrt{A}$ . It remains only to show that  $\sqrt{A}$  is a cornet. We show that  $\sqrt{A}$  satisfies the five conditions of Theorem 2.1 and apply Corollary 1.

(i) Evidently,  $P_A$  is closed under multiplication; hence, the closure of  $P_A$  is also.

(ii)  $P_A$ , and hence its closure, is closed under multiplication by non-negative scalars.

(iii) Let  $f, g \in P_A$ . Choose positive integers  $m, n$  such that  $f^m$  and  $g^n$  belong to  $A$ . Then for every positive integer  $k$ ,  $f^{mnk} + g^{mnk} \in A$ , so that  $(f^{mnk} + g^{mnk})^{1/mnk} \in P_A$ . Then (letting  $k$  tend to infinity) we see that  $f \cup g \in \sqrt{A}$ . If  $f$  and  $g$  are functions in  $\sqrt{A}$ , then there exist sequences  $\{f_n\}$  and  $\{g_n\}$  contained in  $P_A$  with limits  $f$  and  $g$  respectively. Since, for each positive integer  $n$ ,  $f_n \cup g_n \in \sqrt{A}$ , then  $f \cup g = \lim_{n \rightarrow \infty} (f_n \cup g_n) \in \sqrt{A}$ .

(iv) If  $f \in P_A$ , then for any pair  $p, q$  of positive integers  $f^{p/q} \in P_A$ . Then, for each positive real  $\lambda$ ,  $f^\lambda \in \sqrt{A}$ . If  $f \in \sqrt{A}$ ,  $f^\lambda$  can be approximated by a sequence  $\{f_n^\lambda : f_n \in P_A\}$ , so that  $f^\lambda \in \sqrt{A}$ .

(v) For each point  $\xi$  in  $E$ , there exists a function  $f_\xi \in A$  such that  $f_\xi$  is positive on a neighbourhood of  $\xi$ . Because of the compactness of  $E$ , it is possible to find a finite set of such functions  $f_\xi$  whose sum  $f$  is an everywhere positive function belonging to  $A$ . Then  $1 = \lim_{\lambda \rightarrow 0} f^\lambda \in \sqrt{A}$ .

The theorem now follows.

So far, the theorems of this section have given information only about cornets which contain the identity. Let  $A$  now be an arbitrary cornet, and denote by  $A_c$  the least cornet which contains  $A$  along with the identity function 1. Observe that  $A = A_c$  if and only if  $1 \in A$ , and if and only if the set  $N(A)$  is void. Note also that  $A_c$  will not in general be the same as the semi-algebra  $A_1$  defined in §1.2. The investigation of cornets without the identity involves the following lemma.

**LEMMA 2.1.** *Let  $f, g$  be two functions in  $C^+(E)$  and let  $\beta, \varepsilon$  be positive real numbers. Suppose that for some point  $\eta$  in  $E$ ,  $f(\eta) = 0$ , and that for some positive integer  $n$ ,  $\|f - (g + \beta)^{1/n}\| < \frac{1}{2}\varepsilon$ . Then  $\|f - g^{1/n}\| < \varepsilon$ .*

**Proof.** Clearly  $0 < \beta \leq g(\eta) + \beta < (\frac{1}{2}\varepsilon)^n$ . Since  $(t + \beta)^{1/n} - t^{1/n}$  is decreasing for non-negative values of  $t$ , it follows that for each point  $\xi$  in  $E$ ,

$$0 \leq (g + \beta)^{1/n}(\xi) - g^{1/n}(\xi) \leq \beta^{1/n} < \frac{1}{2}\varepsilon.$$

Thus,

$$\|f - g^{1/n}\| \leq \|f - (g + \beta)^{1/n}\| + \|(g + \beta)^{1/n} - g^{1/n}\| < \varepsilon.$$

There is a very simple relationship between the cornets  $A$  and  $A_c$ . In fact, we show that

$$A = \{f : f \in A_c, f(\eta) = 0 \ (\forall \eta \in N(A))\}.$$

This is true if  $N(A) = \emptyset$ . Suppose henceforth that  $N(A) \neq \emptyset$ . Evidently,  $A$  is contained in the right-hand side. Since  $A_c$  is the least cornet containing the closed semi-algebra  $A_1$ , it follows from Theorem 2.2 that  $A_c = \sqrt{A_1}$ . Suppose that the function  $f$  belongs to  $A_c$  and vanishes on  $N(A)$ . For given positive  $\varepsilon$ , there exists a function  $g \in A$ , a positive real  $\beta$  and a positive integer  $n$  such that  $\|f - (g + \beta)^{1/n}\| < \frac{1}{2}\varepsilon$ . Since  $f$  vanishes on the nonvoid set  $N(A)$ , Lemma 2.1 shows that  $\|f - g^{1/n}\| < \varepsilon$ . Because  $A$  is a cornet containing  $g$ ,  $A$  contains  $g^{1/n}$ ; let  $f_\varepsilon = g^{1/n}$ . It is concluded that for any function  $f$  in  $A_c$  vanishing on  $N(A)$ , there exists a function  $f_\varepsilon$  in  $A$  with  $\|f_\varepsilon - f\| < \varepsilon$ ; hence  $f \in A$ .

The connection between  $A$  and  $A_c$  leads directly to the following result.

**THEOREM 2.3.** *Let  $A$  be a cornet. Suppose that  $\mathcal{F}$  is the set of pairs  $(\sigma, \xi)$  with  $\sigma$  a probability measure on  $E$  and  $\xi$  a point of  $E$ , such that  $A \subseteq H_{\sigma, \xi}$ . Then*

$$A = \{f: f \in H_{\sigma, \xi} \ (\forall (\sigma, \xi) \in \mathcal{F}), f(\eta) = 0 \ (\forall \eta \in N(A))\}.$$

**THEOREM 2.4.** *Let  $A$  be a uniformly closed subsemi-algebra of  $C^+(E)$ . Define  $\sqrt{A}$  exactly as in Theorem 2.2. Then  $\sqrt{A}$  is the least cornet containing  $A$ .*

**Proof.** Only the case  $N(A) \neq \emptyset$  is to be considered. The arguments used in Theorem 2.2 apply here to show that  $\sqrt{A}$  is closed under multiplication,  $\lambda\sqrt{A} \subseteq \sqrt{A}$  and  $\sqrt{A}$  is power closed. It remains to be verified that  $\sqrt{A}$  is closed under addition.

$\sqrt{A}$  is contained in  $\sqrt{A_1}$ , which, by Theorem 2.2, is known to be a semi-algebra. Therefore, if  $f, g \in \sqrt{A}$ , then  $f + g \in \sqrt{A_1}$ . By the definition of  $\sqrt{A_1}$ , for given positive  $\varepsilon$ , there exists  $h \in A$ ,  $\alpha > 0$ , a positive integer  $n$ , with  $\|(f + g) - (h + \alpha)^{1/n}\| < \frac{1}{2}\varepsilon$ . Since  $N(A) = N(\sqrt{A})$ ,  $f, g$  and  $f + g$  all vanish on  $N(A)$ . By Lemma 2.1,  $\|(f + g) - h^{1/n}\| < \varepsilon$ . Thus,  $f + g$  can be uniformly approximated by integral roots of elements of  $A$ , so that  $f + g \in \sqrt{A}$ . Hence  $\sqrt{A}$  is a cornet, and the theorem follows.

**2.2. Every maximal closed subsemi-algebra of  $C^+(E)$  is geometric.** Let  $\mu$  and  $\nu$  be two Radon measures on  $E$ . Following F. Riesz (see [14] or [3]), define for  $f \in C^+(E)$  the functional

$$\sigma(f) \equiv \sup \{\mu(g) + \nu(f - g): 0 \leq g \leq f, g \in C^+(E)\}.$$

This functional is linear and continuous on  $C^+(E)$ , and can be extended uniquely to a Radon measure, which is denoted by  $\mu \cup \nu$ . Define:  $\mu_+ \equiv \mu \cup 0$ ,  $\mu_- \equiv (-\mu) \cup 0$ . Then  $\mu_+$  and  $\mu_-$  are positive Radon measures and  $\mu = \mu_+ - \mu_-$ . For  $f \in C(E)$ , the Radon measure  $f \cdot \mu$  is defined by  $(f \cdot \mu)(g) \equiv \mu(fg)$  ( $\forall g \in C(E)$ ).

If  $K_1$  and  $K_2$  are two subcones of  $C^+(E)$  and  $\mu_1 \in K'_1$ ,  $\mu_2 \in K'_2$ , then  $\mu_1 \cup \mu_2 \in (Cl(K_1 + K_2))'$ .

**LEMMA 2.2.** *Let  $f$  be a function in  $C^+(E)$ , and  $\delta$  a real number such that  $0 < \delta < f(\xi) < 1$  ( $\forall \xi \in E$ ). Suppose that  $\mu$  is a Radon measure on  $E$*

Then  $(f \cdot \mu) \cup \mu$  is a positive measure if and only if  $\mu$  is a positive measure.

**Proof.** The positivity of  $\mu$  implies that of  $f \cdot \mu$ , and hence that of  $(f \cdot \mu) \cup \mu$ . Suppose that  $\mu$  is not positive. Then  $\mu_-$  is nontrivial, so that a function  $h \in C^+(E)$  can be found to satisfy

$$0 < \mu(-h) \leq \mu_-(h) \leq (1 - \delta)^{-1} \mu(-h).$$

Then

$$\begin{aligned} ((f \cdot \mu) \cup \mu)(h) &= \sup \{(f \cdot \mu)(g) + \mu(h - g) : 0 \leq g \leq h\} \\ &= \mu(h) + \sup \{\mu(-(1 - f)g) : 0 \leq g \leq h\} \\ &\leq -(1 - \delta)\mu_-(h) + \mu_-((1 - f)h) \\ &= \mu_-((\delta - f)h) < 0, \end{aligned}$$

so that  $(f \cdot \mu) \cup \mu$  is not positive.

**LEMMA 2.3.** Let  $A$  be a proper uniformly closed subsemi-algebra of  $C^+(E)$ . Suppose the function  $f$  in  $C^+(E)$  is such that

- (i) for some real  $\delta$ ,  $0 < \delta < f(\xi) < 1$  ( $\forall \xi \in E$ );
- (ii)  $f^2$  belongs to  $A$ .

Then  $(A, f)$ , the least closed semi-algebra containing  $A$  and the function  $f$  is also proper in  $C^+(E)$ .

**Proof.** If  $f \in A$ , the result is obvious. Suppose then that  $f \notin A$ . Since  $A$  is proper, there exists a nonpositive Radon measure  $\mu$  belonging to  $A'$ . Then  $f \cdot \mu$  belongs to  $(Af)'$ . Hence  $(f \cdot \mu) \cup \mu$  is a nonpositive Radon measure in  $(\text{Cl}(A + Af))'$ , so that the cone  $\text{Cl}(A + Af)$  is a proper cone of  $C^+(E)$ . Now  $A + Af$  is a semi-algebra contained in every closed semi-algebra containing  $A$  and the function  $f$ . Hence  $\text{Cl}(A + Af) = (A, f)$  and the lemma follows.

**THEOREM 2.5.** Let  $A$  be a maximal closed subsemi-algebra of  $C^+(E)$ . Then  $A$  is power closed. If  $E$  contains at least two distinct points, then each maximal closed subsemi-algebra of  $C^+(E)$  is a geometric semi-algebra.

**Proof.** If  $E$  consists of a single point, then  $C^+(E)$  is isomorphic to the semi-algebra of non-negative reals, so that the only proper closed subsemi-algebra of  $C^+(E)$  is  $\{0\}$ , and this is power closed.

If  $E$  consists of more than one point, then the maximality of  $A$  implies, by Theorem 1.2, that  $1 \in A$ . Let  $g$  be an element of  $A$  which is bounded away from zero. Define

$$f \equiv (2 \|g^{1/2}\|)^{-1} g^{1/2}; \quad \delta \equiv \inf \{(3 \|g^{1/2}\|)^{-1} g^{1/2}(\xi) : \xi \in E\}.$$

Then  $f$  and  $\delta$  satisfy the conditions of Lemma 2.3, so that, by the maximality of

$A, (A, f) = A$ . Hence  $g^{1/2} = 2 \|g^{1/2}\| f \in A$ . Similarly, it can be shown in turn that  $g^{1/4}, g^{1/8}, \dots$  all belong to  $A$ . Since any positive real  $\lambda$  can be approximated by the sum of an integer and various powers of  $\frac{1}{2}$ , and since  $A$  is closed, it follows that  $g^\lambda \in A$  ( $\forall \lambda > 0$ ). If, now,  $h$  is any element in  $A$ , then for each positive integer  $n$ ,  $(h + n^{-1}) \in A \cap C^\#(E)$ , so that  $(h + n^{-1})^\lambda \in A$  ( $\forall \lambda > 0$ ). Taking the limit as  $n \rightarrow \infty$ , we see that  $h^\lambda \in A$ . Thus  $A$  is a cornet, and, being maximal, must, by Theorem 2.1 Corollary 2, be geometric.

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